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TRANSMITTAL FORM

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Total Number Of Pages In This Submission

8

Application Number

09/924,428

Filing Date

August 7, 2001

First Named Inventor

Lei WU

Group Art Unit

1641

Examiner Name

C. Cheu

Attorney Docket No.

471842000500

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JAN 23 2003
TECH CENTER 1600/2900

ENCLOSURES (check all that apply)

☐ Fee Transmittal Form

☐ Assignment Papers
(for an Application)

☐ After Allowance Communication to Group

☐ Fee Attached

☐ Drawing(s)

☐ Appeal Communication to Board of Appeals and Interferences

☐ Amendment / Reply

☐ Licensing-related Papers

☐ Appeal Communication to Group
(Appeal Notice, Brief, Reply Brief)

☐ After Final

☐ Petition

☐ Proprietary Information

☐ Affidavits/declarations

☐ Petition to Convert to a Provisional Application

☐ Status Letter

☐ Extension of Time Request

☐ Power of Attorney, Revocation
Change of Correspondence Address

☒ Other Enclosure(s) (please identify below): Return postcard

☐ Express Abandonment Request

☐ Terminal Disclaimer

☐ Request for Refund

☒ Information Disclosure Statement;
PTO form 1449; Copy of International
Search Report; and 10 References

☐ CD, Number of CD(s) _____

☐ Certified Copy of Priority Document(s)

Remarks

☐ Response to Missing Parts/
Incomplete Application

☐ Response to Missing Parts
under 37 CFR 1.52 or 1.53

SIGNATURE OF APPLICANT, ATTORNEY OR AGENT

Firm

Peng Chen, Registration No. 43,543

or

Morrison & Foerster LLP

Individual Name

3811 Valley Centre Drive, Suite 500; San Diego, CA 92130-2332

Signature

Date

January 14, 2003

CERTIFICATE OF MAILING BY "FIRST CLASS MAIL"

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on January 14, 2003.

Jose Luna

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PATENT
Docket No. 471842000500

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Jose Luna

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the application of:

Lei WU, et al.

Serial No.: 09/924,428

Filing Date: August 7, 2001

For: MICRODEVICE CONTAINING
PHOTORECOGNIZABLE CODING
PATTERNS AND METHODS OF
USING AND PRODUCING THE SAME
THEREOF

Examiner: C. Cheu

Group Art Unit: 1641

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**SUPPLEMENTAL INFORMATION DISCLOSURE
STATEMENT UNDER 37 C.F.R. § 1.97 & 1.98**

Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

Pursuant to 37 C.F.R. § 1.97 and § 1.98, Applicants submit for consideration in the above-identified application the documents listed on the attached Form PTO-1449. Copies of the documents are also submitted herewith. The Examiner is requested to make these documents of record.

The documents listed on the attached Form PTO-1449 were cited in a Search Report (copy attached) directed to a counterpart international or foreign application.

This Information Disclosure Statement is submitted:

- ☒ Within three months of the application filing date or before mailing of a first Office Action on the merits; accordingly, no fee or separate requirements are required.
- ☐ After receipt of a first Office Action on the merits but before mailing of a final Office Action or Notice of Allowance.
 - ☐ A fee is required. A check in the amount of * is enclosed.
 - ☐ A fee is required. Accordingly, a Fee Transmittal form (PTO/SB/17) is attached to this submission in duplicate.
 - ☐ A Certification under 37 C.F.R. § 1.97(e) is provided below; accordingly, no fee is believed to be due.
- ☐ After mailing of a final Office Action or Notice of Allowance, but before payment of the issue fee.
 - ☐ A Certification under 37 C.F.R. § 1.97(e) is provided below and a check in the amount of * is enclosed.
 - ☐ A Certification under 37 C.F.R. § 1.97(e) is provided below and a Fee Transmittal form (PTO/SB/17) is attached to this submission in duplicate.

Applicants would appreciate the Examiner initialing and returning the Form PTO-1449, indicating that the information has been considered and made of record herein.

The information contained in this Supplemental Information Disclosure Statement under 37 C.F.R. § 1.97 is not to be construed as a representation that: (i) a complete search has been made; (ii) additional information material to the examination of this application does not exist; (iii) the information, protocols, results and the like reported by third parties are accurate or enabling; or (iv) the above information constitutes prior art to the subject invention.

In the unlikely event that the transmittal letter is separated from this document and the Patent Office determines that an extension and/or other relief is required, applicant petitions for any required relief including extensions of time and authorizes the Assistant Commissioner to charge the cost of such petitions and/or other fees due in connection with the filing of this document to **Deposit Account No. 03-1952** referencing 471842000500. However, the Assistant Commissioner is not authorized to charge the cost of the issue fee to the Deposit Account.

Dated: January 13, 2003

Respectfully submitted,

By: _____

Peng Chen
Registration No. 43,543

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